

**RECEIVED
CENTRAL FAX CENTER**

APR 10 2006

8108

PTO/SB/87 (12-97)

Approved for use through 8/30/00. OMB 0651-0031
Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

In re: Leo Chiu
 Case: P8108 Application No.: 10/613,867 Filing date: 07/02/2003
 Art Unit: 2645 Examiner: Gerald Gauthier
 Subject: Behavioral Adaptation Engine for Discerning Behavioral Characteristics of Callers
 Interacting with an VXML-Compliant Voice Application

Certificate of Transmission under 37 CFR 1.8

Attention: Gerald Gauthier, Examiner

Fax No.: (571) 273-8300

I hereby certify that this correspondence is being facsimile transmitted to the
Patent and Trademark Officeon 04/10/2006

Date



Signature



Typed or printed name of person signing Certificate

Note: Each paper must have its own certificate of transmission, or this certificate must identify each submitted paper.

Total Sheets Transmitted - 15

1. Amendment Transmittal - 1 sheet
2. Duplicate Amendment Transmittal - 1 sheet
3. Response A - 7 sheets
4. Cover Letter for Information Disclosure Statement - 1 sheet
5. Information Disclosure Statement - 1 sheet
6. Copy of International search report - 3 sheets
7. Certificate of Transmission - 1 sheet

Please call (831) 726-1457 if you have any questions.

Burden Hour Statement: This form is estimated to take 0.03 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

**RECEIVED
CENTRAL FAX CENTER**

APR 10 2006

Method of Transmission: Facsimile

CASE DOCKET NO. P8108

In reference to application of Leo Chiu

Serial No. 10/613,857

For Behavioral Adaptation Engine for Discerning Behavioral Characteristics of Callers Interacting with an VXML-Compliant Voice Application

Sir:

Transmitted herewith is and an amendment in the above-identified application, under 37 C.F.R. 1.312.

No additional fee is required.
 Applicant claims Small entity status under 37 CFR 1.27.
 The fee has been calculated as shown below.

***** CLAIMS AS AMENDED *****

(1)	(2) Claims Remaining After Amendment	(3)	(4) Highest No. Paid For Previously	(5) Present Extra	(6) Rate Small Entity	(7) Rate Large Entity	(8) Additional Fee
Total Claims	30	Minus	** 36	0	\$ 25	\$ 50	\$ 0.00
Indep Claims	3	Minus	*** 3	0	\$ 100	\$ 200	\$ 0.00
	<input type="checkbox"/> First presentation of a multiple dependent claim				\$ 0	\$ 0	\$ 0.00
	<input type="checkbox"/> Terminal Disclaimer Fees						\$ 0.00
Extension Fee	<input type="checkbox"/> 1st Month	<input type="checkbox"/> 2nd Month	<input type="checkbox"/> 3rd Month				\$ 0.00
							\$ 0.00
			Total additional for claims, time extensions and disclaimer fees				

** If the "highest Number Previously Paid For" in this space is less than 20, write "20" in this space.

*** If the "highest Number Previously Paid For" in this space is less than 3, write "3" in this space.

**** Multiple dependencies, if any, included in the above calculation.

* If the entry in column 2 is less than the entry in column 4, write "O" in column 5.

 A check in the amount of 0.00 is attached. Charge \$ 0.00 to deposit account 50-0534. (A duplicate of this sheet is enclosed) Please charge any additional fees or credit overpayment to Deposit Account 50-0534. A duplicate of this sheet is enclosed.Respectfully Submitted, /Donald R. Boys/Donald R. Boys
Reg. No. 35074

Central Coast Patent Agency, Inc.
 P.O. Box 187
 Aromas, CA 95004
 (831) 726-1457

Method of Transmission: Facsimile

CASE DOCKET NO. P8108

In reference to application of Leo Chiu

Serial No. 10/613,857

For Behavioral Adaptation Engine for Discerning Behavioral Characteristics of Callers Interacting with
an VXML-Compliant Voice Application
Sir:

Transmitted herewith is and an amendment in the above-identified application, under 37 C.F.R. 1.312.

No additional fee is required.
 Applicant claims Small entity status under 37 CFR 1.27.
 The fee has been calculated as shown below.

**** CLAIMS AS AMENDED ****

(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)
	Claims Remaining After Amendment		Highest No. Paid For Previously	Present Extra	Rate Small Entity	Rate Large Entity	Additional Fee
Total Claims	30	Minus	** 36	0	\$ 25	\$ 50	\$ 0.00
Indep Claims	3	Minus	*** 3	0	\$ 100	\$ 200	\$ 0.00
<input type="checkbox"/> First presentation of a multiple dependent claim					\$ 0	\$ 0	\$ 0.00
<input type="checkbox"/> Terminal Disclaimer Fees							\$ 0.00
Extension Fee	<input type="checkbox"/> 1st Month		<input type="checkbox"/> 2nd Month		<input type="checkbox"/> 3rd Month		\$ 0.00
Total additional for claims, time extensions and disclaimer fees							\$ 0.00

** If the "highest Number Previously Paid For" in this space is less than 20, write "20" in this space.

*** If the "highest Number Previously Paid For" in this space is less than 3, write "3" in this space.

**** Multiple dependencies, if any, included in the above calculation.

* If the entry in column 2 is less than the entry in column 4, write "O" in column 5.

 A check in the amount of 0.00 is attached. Charge \$ 0.00 to deposit account 50-0534. (A duplicate of this sheet is enclosed) Please charge any additional fees or credit overpayment to Deposit Account 50-0534. A duplicate of this sheet is enclosed.Respectfully Submitted, /Donald R. Boys/Donald R. Boys
Reg. No. 35074

COPY

Central Coast Patent Agency, Inc.
P.O. Box 187
Aromas, CA 95004
(831) 726-1457

RECEIVED
CENTRAL FAX CENTER

APR 10 2006

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Art Unit: 2645 Examiner: Gerald Gauthier.

In Re: Leo Chiu
Case: P8108
Serial No.: 10/613,857
Filed: 07/02/2003
Subject: Behavioral Adaptation Engine for Discerning Behavioral Characteristics
of Callers Interacting with an VXML-Compliant Voice Application

Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

Response A